

06/11/01

31059 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 476-2028
 First Inventor McCormack
 Title Establishing Telephone Calls at Specified Times
 Express Mail Label No. EL 761 853 749 US

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. CMB 0651-0032
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages (preferred arrangement set forth below) 18]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
 5. Oath or Declaration [Total Pages 4]
 a. ☒ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr.				
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson				
Address	P.O. Box 2786				
City	Chicago	State	IL	Zip Code	60690-2786
Country	USA	Telephone	312-368-1300	Fax	312-368-0034

Name (Print/Type) WILLIAM M. LEE, JR. Registration No. (Attorney/Agent)
 Signature [Signature] Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	McCormack
Examiner Name	
Group Art Unit	
Attorney Docket No.	476-2028

TOTAL AMOUNT OF PAYMENT \$910.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 12-0913
- Deposit Account Name 12-0913
- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR § 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$710.00

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <u>18</u> - 20** = <u>0</u> X <u>80.00</u> = <u>0.00</u>		
Independent Claims <u>5</u> - 3*** = <u>2</u> X <u>80.00</u> = <u>160.00</u>		
Multiple Dependent		

Large Entity Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$160.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)		
105	130	205	65	25	Surcharge - late filing fee or oath sheet	
127	50	227	25	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	130	Non - English specification	
147	2,520	147	2,520	147	For filing a request for ex parte reexamination	
112	920*	112	920*	112	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	113	Requesting publication of SIR after Examiner action	
115	110	215	55	55	Extension for reply within first month	
116	390	216	195	195	Extension for reply within second month	
117	890	217	445	445	Extension for reply within third month	
118	1,390	218	695	695	Extension for reply within fourth month	
128	1,890	228	945	945	Extension for reply within fifth month	
119	310	219	155	155	Notice of Appeal	
120	310	220	155	155	Filing a brief in support of an appeal	
121	270	221	135	135	Request for oral hearing	
138	1,510	138	1,510	1,510	Petition to institute a public use proceeding	
140	110	240	55	55	Petition to revive - unavoidable	
141	1,240	241	620	620	Petition to revive - unintentional	
142	1,240	242	620	620	Utility issue fee (or reissue)	
143	440	243	220	220	Design issue fee	
144	600	244	300	300	Plant issue fee	
122	130	122	130	130	Petitions to the Commissioner	
123	50	123	50	50	Processing fee under 37 CFR § 1.17(g)	
126	180	126	180	180	Submission of Information Disclosure Statement	
581	40	581	40	40	Recording each patent assignment per property (lines number of properties)	40.00
146	710	246	355	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	355	Request for Continued Examination (RCE)	
169	900	169	900	900	Request for expedited examination of a design application	
Other fee (specify)						
SUBTOTAL (3)						\$40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935	Telephone	312-368-6640
Signature		Date	June 11, 2001		

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Please acknowledge
receipt of the
enclosed

McCormack, et al
Serial No. Not yet assigned
Filed: Herewith

Title: Establishing Telephone Calls at Specified Time.

1. Utility Patent Application Transmittal
2. Fee Transmittal (in duplicate)
3. 18 pages of specifications
4. 3 sheets of drawings
5. Declaration and Power of Attorney
6. 1 Assignment cover sheet w/assignments
7. Check No. 44885 for \$910.00
8. Certificate of Express Mail
11. Return Postcard

DUE DATE (if Any): N/A

DATE SENT: June 11, 2001

"Express Mail" mailing label number

EL 761 853 749 US

Date of deposit: June 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Richard Sensenbrenner

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